

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Winston Way, et al.
Serial No.: 09/839,693
Filed: April 19, 2001
For: Method and Apparatus for Interleaved Optical Single Sideband Modulation

Group No.: 2633
Examiner: Not Assigned

Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 06/15/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SUBSTITUTE (FORMAL) DRAWINGS

Enclosed are Substitute Drawings in compliance with 37 CFR 1.84, which are formal drawings.

SMALL ENTITY STATUS

IV. Applicant claims small entity status. See 37 CFR 1.27.

08/14/2001 MBERHE 00000081 232415 09839693
01 FC:201 355.00 CH
02 FC:205 65.00 CH
03 FC:203 315.00 CH
04 FC:202 400.00 CH

COMPLETION FEES

- | | |
|--|----------------|
| 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a)) | \$355.00 |
| 2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c)) | \$315.00 |
| 3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$400.00 |
| 4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e)) | \$65.00 |
| 5. Assignment (See "ASSIGNMENT COVER SHEET".) | <u>\$40.00</u> |
| 6. Total completion fees | \$1,175.00 |

EXTENSION OF TIME

V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
Fee Due: \$0

REQUEST FOR CORRECTED FILING RECEIPT

VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

- | | |
|------------------------------------|--|
| 1. Applicant name and/or addresses | - Winston Way, Irvine, CA; Ming Chia Wu, Irvine, CA; Ming-Bing Chen, Irvine, CA; |
|------------------------------------|--|

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

VII. The total fee due is: Completion fees \$1,175.00

Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$1,200.00

PAYMENT OF FEES

VIII. Charge Account No. 23-2415 (26084-711) in the amount of \$1,200.00.
Please charge Account No. 23-2415 (26084-711) for any fees that may be due by this paper.

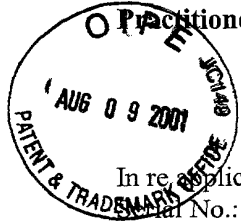
Paul Davis, Reg. No. 29,294

Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

CERTIFICATE OF MAILING BY EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as Express Mail (Label No. EL757 449 835 US) in an enveloped addressed to: Commissioner for Patents, Washington, D.C. 20231
Date of Deposit 8/9/01

Liliani Acosta



Applicant's Docket No. 26084-711

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Winston Way, et al.

Serial No.: 09/839,693

Filed: April 19, 2001

For: Method and Apparatus for Interleaved Optical Single Sideband Modulation

Group 2633

Examiner: Not Assigned

Batch: Not Assigned

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF NEW DRAWING(S) TO CORRECT INFORMALITIES
WITHIN TWO MONTH PERIOD OF RESPONSE SET
IN NOTICE TO FILE MISSING PARTS NONPROVISIONAL APPLICATION
SUBMISSION OF DRAWING(S)

1. To correct the informalities in accordance with the Notice to File Missing Parts of NonProvisional Application dated 06/15/2001, applicants submit herewith new drawing(s) for this application.

Number of sheets of drawings submitted: 15

2. The three month period of response set in the Notice to File Missing Parts of NonProvisional Application expires on 08/15/01. This submission is on or before this expiry date.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Paul Davis, Reg. No. 29,294

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

CERTIFICATE OF MAILING BY "EXPRESS MAIL"(37 C.F.R. 1.10)

"Express Mail Mailing Label No. EL757 449 895 US

Date of Deposit 8/9/01

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Date: 8/9/01

Leilani Acosta

WARNING.

"Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under §§ 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . ." 37 C.F.R. 1.6(d)(4).

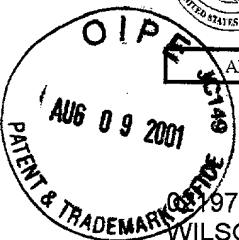
(Transmittal of New Drawing(s) to Correct Informalities Within Three Month Period of Response Set in Notice to File Missing Parts Nonprovisional Application[page 1])

0300



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,693	04/19/2001	Way	26084-711

WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 1787

FORMALITIES LETTER



OC000000006187123

Date Mailed: 06/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$715.
 - \$315 for 35 total claims over 20.
 - \$400 for 10 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1135.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]